

Federal Functional Classification System Change Request Process Training

Texas Department of Transportation

TxDOT Districts & MPOs

November 20, 2024

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Key Acronyms to Know!

Acronym	Definition	Acronym	Definition
AADT	Annual Average Daily Traffic	PE	Preliminary Engineering
CFR	Code of Federal Regulations	POC	Point of Contact
CSJ	Control-Section-Job	STIP	Statewide Transportation Improvement Program
FAQ	Frequently Asked Questions	STRAHNET	Strategic Highway Network
FC	Functional Classification	SOP	Standard Operating Procedure
FEMA	Federal Emergency Management Agency	TIP	Transportation Improvement Program
FFCS	Federal Functional Classification System	TP&D	Transportation Planning and Development
FHWA	Federal Highway Administration	TPP	Transportation Planning and Programming
MPO	Metropolitan Planning Organization	TXDOT	Texas Department of Transportation
MTP	Metropolitan Transportation Plan	UTP	Unified Transportation Program
NHS	National Highway System	SPM	Statewide Planning Map



FHWA Remarks





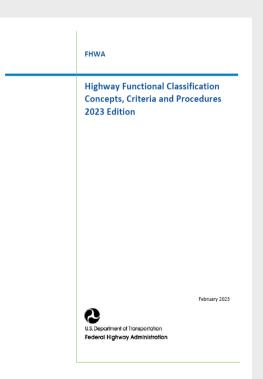
Functional Classification – Federal Resources

FHWA Guidance

 Highway Functional Classification Concepts, Criteria, and Procedures (2023 Edition)

Federal Regulations

- 23 CFR § 470.103 (Definitions)
- 23 CFR § 470.105 (Urban area boundaries and highway functional classification)
- 23 CFR § 470.107 (Federal-aid highway systems)





FHWA Guidance

Working with FHWA guidance and your colleagues at TPP will ensure that your requests are properly submitted and timely processed.

Addressed in FHWA Guidance

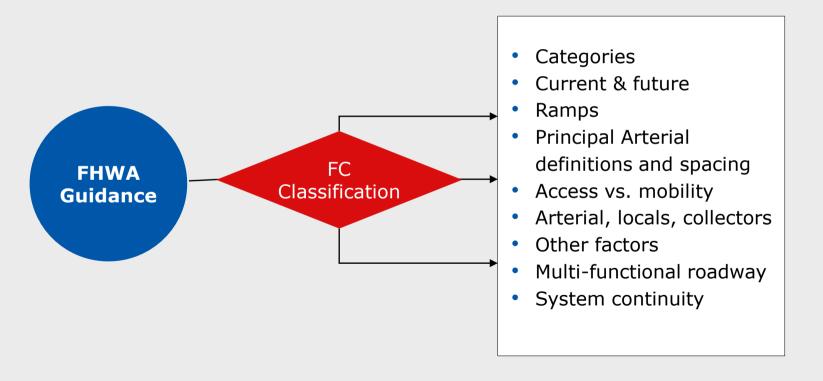
- Underlying concepts
- FC definitions and criteria
- Processes and procedures for how and when to classify and reclassify roadways
- How changing urban boundaries relate to FC changes
- Selected FC change submission requirements

Not Addressed in FHWA Guidance

- Cover letter format & terminology
- Specific request packet contents



Underlying Concepts of FHWA Guidance





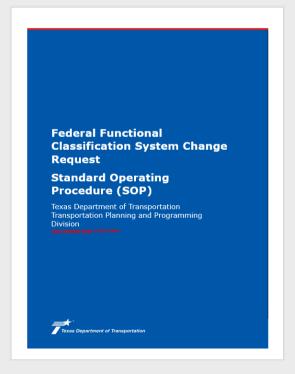
Functional Classification – TxDOT Resources

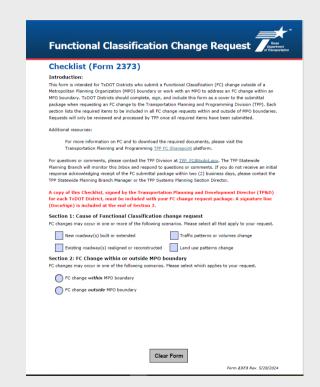
- TPP FC SharePoint
- <u>TxDOT.gov FC Change Requests Page</u>
- Statewide Planning Map
- TPP Map Request
- TxDOT FC Change Request Tracker Dashboard
- <u>TPP Functional Classification Training Presentation</u>
- Standard Operating Procedure
- FC Checklist (Form 2373)
- Form 2373 Additional Segments Attachment
- FAQ



Functional Classification – TxDOT Resources

Standard
Operating
Procedure



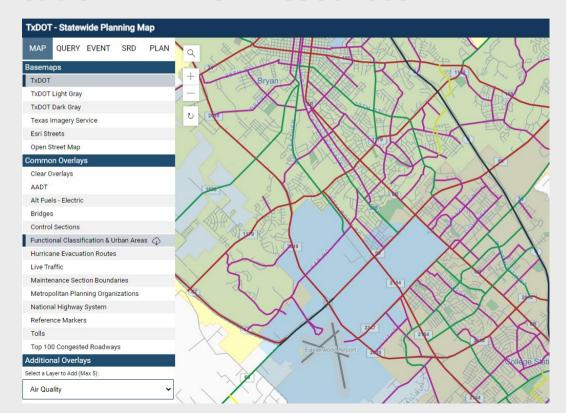


Checklist



Functional Classification – TxDOT Resources

Statewide Planning Map





Background

What is Functional Classification?

When do FC changes occur?

What should I do before requesting a FC change?

When is the right time to request a FC change?

How do I submit a FC change request?



Background

- The SOP applies to all FC changes on all public roadways, including:
 - The TxDOT-maintained highway network
 - Locally-maintained roadways
- Federal law places primary responsibility for designating and updating FC with State DOTs.
 - TxDOT's responsibility is to clearly define the role each element of the roadway network plays in serving various travel needs within the Federal FC System.



What is Functional Classification?

The hierarchy divides roads into Arterials, Collectors, and Locals based on whether their primary travel function is:

- Access;
- Mobility; or
- Both access and mobility

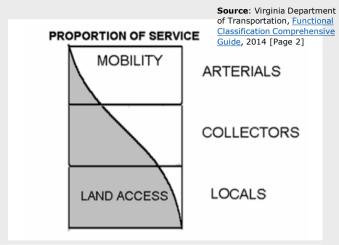


Table 2-1: Relationship between Functional Classification and Travel Characteristics

Functional Classification	Distance Served (and Length of Route)	Access Points	Speed Limit	Distance between Routes	Usage (AADT and DVMT)	Significance	Number of Travel Lanes
Arterial	Longest	Few	Highest	Longest	Highest	Statewide	More
Collector	Medium	Medium	Medium	Medium	Medium	Medium	Medium
Local	Shortest	Many	Lowest	Shortest	Lowest	Local	Fewer



What is Functional Classification (cont.)?

- Defines the role of a road
- Used as an indicator of roadway design
- Avoid using FC to seek federal funding!!!

	Range (pe	rcent)
Urban Functional Systems	VMT	Miles
Principal arterial system	40-60	5-10
Principal arterial <u>plus</u> minor arterial road system	65-80	15-25
Collector road system	5-10	5-10
Local Road System	10-30	65-80

Source: California Department of Transportation, <u>Introduction to Functional Classification</u>, 2022 [Slide 9],], based on Table 3-6 of Federal <u>Highway Functional Classification Concepts, Criteria, and Procedures (2023 Edition)</u>,), 2023 [Page 24, below]

Table 3-6: VMT and Mileage Guidelines by Functional Classifications - Collectors and Locals									
Collectors:	Major Collector ²	Minor Collector ²	Local						
Typical Characteristics									
Lane Width	10 feet - 12 feet	10 - 11 feet	8 feet - 10 feet						
Inside Shoulder Width	0 feet	0 feet	0 feet						
Outside Shoulder Width	1 foot - 6 feet	1 foot - 4 feet	0 feet - 2 feet						
AADT¹ (Rural)	300 - 2,600	150 - 1,110	15 - 400						
AADT¹ (Urban)	1,100 - 6,300 ²	1,100 - 6,300 ²	80 - 700						
Divided/Undivided	Undivided	Undivided	Undivided						
Access	Uncontrolled	Uncontrolled	Uncontrolled						
Mileage/VMT Extent (Percentage Ranges) ¹	Mileage/VMT Extent (Percentage Ranges) ¹								
Rural System									
Mileage Extent for Rural States ³	8% - 19%	3% - 15%	62% - 74%						
Mileage Extent for Urban States	10% - 17%	5% - 13%	66% - 74%						
Mileage Extent for All States	9% - 19%	4% - 15%	64% - 75%						
VMT Extent for Rural States ³	10% - 23%	1% - 8%	8% - 23%						
VMT Extent for Urban States	12% - 24%	3% - 10%	7% - 20%						
VMT Extent for All States	12% - 23%	2% - 9%	8% - 23%						
Urban System									
Mileage Extent for Rural States ³	3% - 16%	3% - 16%²	62% - 74%						
Mileage Extent for Urban States	7% - 13%	7% - 13%²	67% - 76%						
Mileage Extent for All States	7% - 15%	7% - 15%²	63% - 75%						
VMT Extent for Rural States ³	2% - 13%	2% - 12%²	9% - 25%						
VMT Extent for Urban States	7% - 13%	7% - 13%²	6% - 24%						
VMT Extent for All States	5% - 13%	5% - 13%²	6% - 25%						



What is Functional Classification?

Divided into 7 categories based on hierarchy:

Functional Classification	Hierarchy of Roadways	Statewide Planning Map Color
1	Interstate	
2	Principal Arterial – Other Freeways and Expressways (OFE)	
3	Principal Arterial – Other	
4	Minor Arterial	
5	Major Collector	
6	Minor Collector	
7	Local	





When do FC changes occur?

- When new roads are built or extended.
- When existing roads are realigned or reconstructed.
- When traffic patterns or volumes change.
- When land use patterns change.



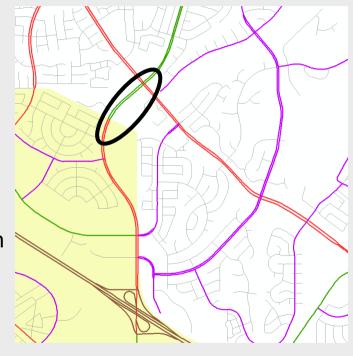
Why are FC changes necessary?

- To reflect new functional uses of roadways and roadway network changes.
- To update the role each element of the roadway network plays in serving various travel needs.
- To ensure that the roadway's design, speed, capacity, and relationship to existing and future land use development accurately reflect the intended use of the roadways.
- To determine eligibility for federal funding to construct and maintain roadways.
- To set or adjust roadway system performance, benchmarks, and targets for preservation, mobility, and safety.



Consider System Continuity

- A higher classified road should not end at a lower classified road.
- Pay attention to the roadway's relationship to intersecting, adjacent, and nearby roadways.
- A roadway's FC should not transition to a different FC unless doing so at an intersection or where it meets another roadway where traffic may enter or exit.
- A roadway may not receive a FC above Local unless traffic volumes can support the need





When is the right time to request a FC change?

- When there is a change in land use, traffic patterns, or traffic volumes
- When a project first appears in the TIP (at least 4 years before letting)
- When PE is listed or grouped in the STIP (consider the 4year timeframe)



Back in 15 minutes



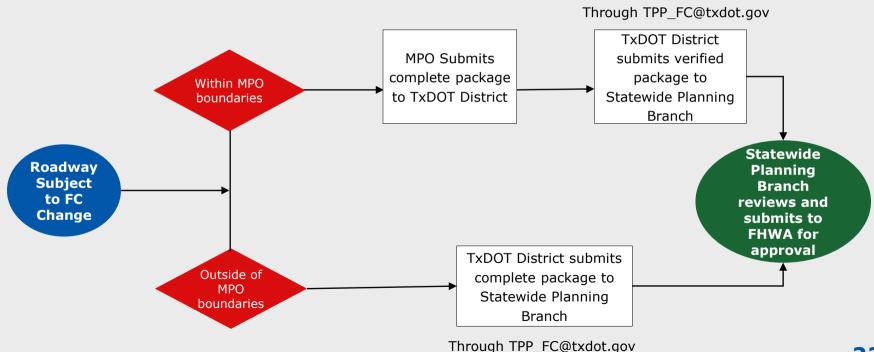


How do I submit a FC change request?

- Requests must be submitted to TPP and approved by FHWA <u>before</u> a project is let for construction.
- Do <u>not</u> submit changes for the sole purpose of making a roadway eligible for federal funding.
- A roadway that is eligible for FHWA federal highway aid will not be eligible for FEMA federal disaster recovery funds.
- District-led requests must be coordinated with MPOs <u>before</u> routing the requests to TPP.
- MPO-led requests must be reviewed by Districts for <u>concurrence and completeness</u> before routing to TPP.
- Districts are responsible for ensuring that all FC change requests are consistent and accurate before they are submitted to TPP.



Steps to submitting a complete FC change request





Step 1: MPO or District prepares a complete FC change request package

- A complete FC change request package includes:
 - FC Change Request Form 2373
 - 2. Form 2373 Additional Attachment Form (if necessary)
 - 3. Supporting documentation
 - Official TPP FC Map
 - Draft District or MPO map
 - Letter of support from city or county, or MPO resolution
 - For proposed or upgraded road projects:
 - a) STIP entry
 - b) UTP or TIP / MTP entry
 - c) Estimated open to traffic date
 - d) Estimated let date

TPP FC Map Requests

https://txdot.sharepoint .com/sites/divisiontpp/DM-Admin/Lists/Data%20Re quest/AllItems.aspx



Standard Operating ProcedureForm 2373: FC Change Request Checklist

Functional Classification Change Request Checklist (Form 2373) Introduction: This form is intended for TxDOT Districts who submit a Functional Classification (FC) change outside of a Metropolitan Planning Organization (MPO) boundary or work with an MPO to address an FC change within an MPO boundary. TxDOT Districts should complete, sign, and include this form as a cover to the submittal package when requesting an FC change to the Transportation Planning and Programming Division (TPP). Each section lists the required items to be included in all FC change requests within and outside of MPO boundaries. Requests will only be reviewed and processed by TPP once all required items have been submitted. Additional resources: For more information on FC and to download the required documents, please visit the Transportation Planning and Programming TPP FC Sharepoint platform. For questions or comments, please contact the TPP Division at TPP FC@txdot.gov, The TPP Statewide Planning Branch will monitor this Inbox and respond to questions or comments. If you do not receive an initial response acknowledging receipt of the FC submittal package within two (2) business days, please contact the TPP Statewide Planning Branch Manager or the TPP Systems Planning Section Director. A copy of this Checklist, signed by the Transportation Planning and Development Director (TP&D) for each TxDOT District, must be included with your FC change request package. A signature line (DocuSign) is included at the end of Section 3. Section 1: Cause of Functional Classification change request FC changes may occur in one or more of the following scenarios. Please select all that apply to your request. New roadway(s) built or extended Traffic patterns or volumes change Existing roadway(s) realigned or reconstructed Land use patterns change Section 2: FC Change within or outside MPO boundary FC changes may occur in one of the following scenarios. Please select which applies to your request. FC change within MPO boundary FC change outside MPO boundary Clear Form Form 2373 Rev. 5/28/2024

For FC Changes with corresponding TxDC	ange Request Package Ite hin MPO boundaries, the MPO su IT District. The FC change reques mittal. The corresponding TxDOT	bmits the FC char t package <u>must</u> ir	nge request package to the nclude the items listed below as
	change request package <u>must</u> in		s an FC change request package to the isted below as applicable upon submittal
Select items inclu	ded in your FC change reques	t package.	
A list of char	nges (see Table 1 for a template	of the required in	nformation)
Rationale for	each FC change (as indicated in	the Table 1 tem	plate)
Draft Distric	t or MPO map(s) clearly depicting	proposed chang	e(s)
Urban b	oundary		
Current	development (aerial photo, Goog	le Street View)	
Land	fuse		
Majo	or traffic generator(s)		
Roadway	y(s) for which a FC change is being	ng requested and	most current AADT
Existing	roadways with associated FC hie	rarchy and most	current AADT
	FC Map (to request map, click here		
	oport from city or county or MPO igendas can be included)	resolution (other	backup documents such as meeting
FC change n	equest email to TPP_FC@txdot.go	ov	
			ired for FC changes on proposed or package, along with supporting
Outside MPO	Latest approved STIP entry	Within MPO	Latest approved STIP entry
boundary:	UTP entry	boundary:	TIP (MTP or UTP) entry
	Estimated open to traffic date		Estimated open to traffic date
	Estimated let date		Estimated let date
Is the FC change as:	sociated to a project already incli	uded in the STIP /	TIP or UTP? Yes No
	to the previous question, enter to the previous question, enter to the previous an attachment if more spin		CSJ(s) on the following line. You may
		2	Form 2373 Rev. 5/28/2024

Functional Classification Change Request - Checklist (Form 2373)

tap ID	Roadway	From	То		
	Existing FC	Proposed FC	Length (mi)		
	Choose an item	Choose an item			
1	Project Information (if applicable):				
•	350 character limit				
	Rationale for FC change:	Choose an item			
	Detailed justification and context for	(Example: FN 1171 will improve east-west mobility and connectivity in southwest Denton County, while also providing access to the Texas Motor Speedway.)			
	the FC change requested:				
	350 character limit				
Map ID	Roadway	From	То		
Top 10	Roundy	110	.,		
	Existing FC	Proposed FC	Length (mi)		
	Choose an item	Choose an item	,		
	Project Information				
2	(if applicable):				
	350 character limit				
	Rationale for FC change:	Choose an item			
	Detailed justification and context for the FC change requested: 350 character limit	(Example: FH 1171 will improve east-west mobility and connectivity in southwest Denton County, while also providing access to the Texas Motor Speedway.)			
	are more roadway segments a ional table(s) as an attachmer		request, please click the link		
- addit	Total table of us all attachmen				
te: Exa	mples on how to fill out this table	are included in the SOP, include	d in the TPP FC SharePoint page.		
	viewed this FC change request page	ckage and concur with the recom	mendation(s). All required		
cument	s are included.				
etrict T	P&D Approval	I	I		



Form 2373: FC Change Request Checklist

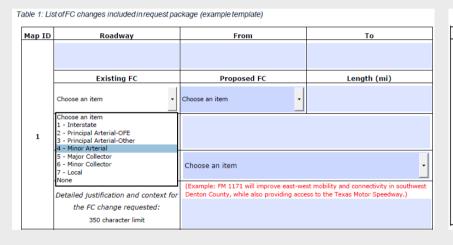


Table 1: List of FC changes included in request package (example template) Map ID Roadway From To Existing FC Proposed FC Length (mi) Choose an Item Choose an Item Project Information (if applicable): 350 oharaoter limit Rationale for FC change: Choose an item Choose an item Detailed justification and context Improve Access for the FC change requested: Improve Mobility Improve Access and Mobility 350 character limit

I have reviewed this FC change request package and concur with the recommendation(s). All required documents are included.

District TP&D Approval

[DocuSigned by:]

Date

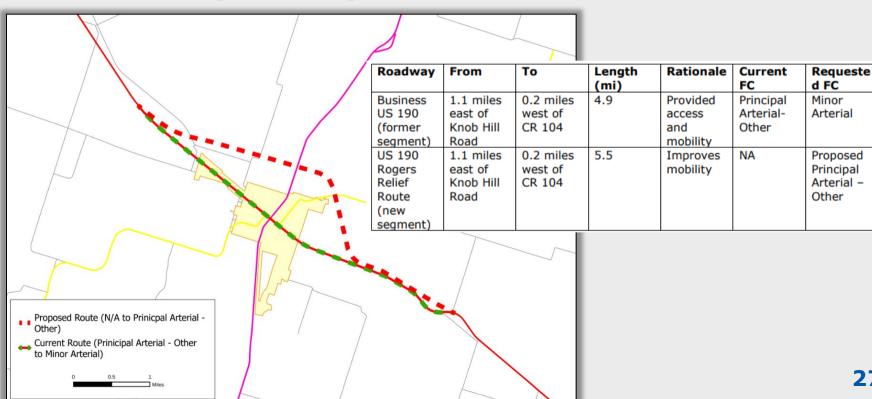


Form 2373: Additional Roadway Segment(s) Attachment

Funct	tional Classifi	cation Change	e Request France	as traent portation				
Checkl	list (Form 2373)							
Map ID	Roadway	From	То		Map ID	Roadway	From	То
Ch	Existing FC	Proposed FC Choose an item	Length (mi)					
Ch	Project Information (if applicable): 350 character limit	Choose an item	l			Existing FC	Proposed FC	Length (mi)
De	Rationale for FC change: etailed justification and context for the FC change requested:		est mobility and connectivity in southwest ess to the Texas Motor Speedway.)			Choose an Item	Choose an Item	
Map ID	350 character limit Roadway	From	То	1		Project Information (if applicable): 360 oharaoter limit		
Ch		Proposed FC Choose an item	Length (mi)			Rationale for FC change:	Choose an item	
De	Project Information (if applicable): 350 character limit Rationale for FC change: stailed justification and context for the FC change requested: 350 character limit		est mobility and consectivity in southwest ess to the Texas Motor Speedway.)			Detailed justification and context for the FC change requested: 350 character limit	(Replace Example: FM 1171 will improve east-west mobility and connectivity in southwest Denton County, while also providing access to the Texas Motor Speedway.	

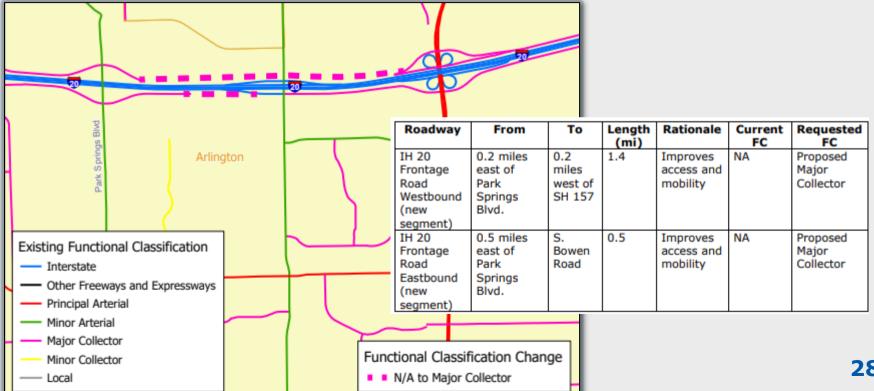


New vs. Existing Roadways





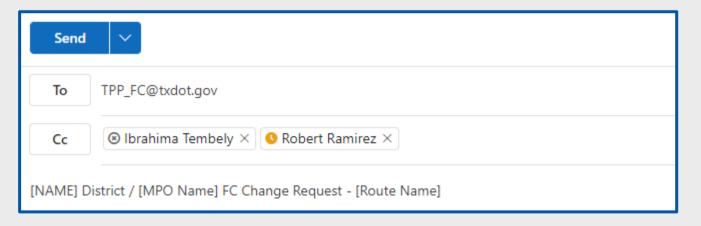
Segments of Differing Lengths





Step 2: District emails the FC Change Request package to TPP

- TPP_FC@txdot.gov
 - Subject line:
 - [Name] District / [MPO Name] FC Change Request [Route Name]



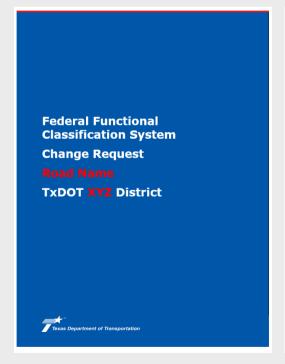


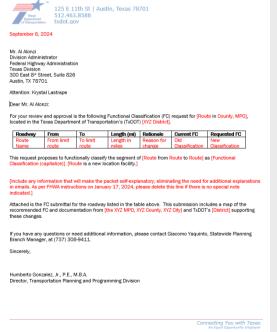
Step 3: TPP reviews and contacts the District

- TPP will notify the corresponding TxDOT District that either:
 - Additional documentation or clarification is needed; or
 - All required documentation has been provided, TPP Division concurs with the requested FC, and the request will be forwarded to FHWA.



Step 4: TPP presents the proposed changes to FHWA for approval









Step 4: TPP presents the proposed changes to FHWA for approval

- TPP Statewide Planning Branch
 - Forwards the FHWA approval to the District / MPO and TPP's Data Management Section
 - Updates the FC tracking log
- TPP's Data Management Section
 - Updates the Statewide Planning Map
- TxDOT District
 - Updates TxDOTCONNECT



Federal Functional Classification System Change Request

Road Name

TXDOT XYZ District



Texas Division July 14, 2023 300 E. 8th Street, Rm 826 Austin TX 78701 512-536-5900 512-536-5990 Texas.fhwa@dot.gov

> In Reply Refer To: HDA-TX

Mr. Humberto "Tito" Gonzales Jr. Director, Transportation Planning and Programming Division Texas Department of Transportation 125 East 11^a Street, Austin. TX 78701-2483

Attn: Robert Ramirez

Dear Mr. Gonzales:

Thank you for your letter requesting classification of the Russel Road. We noted that Russel Road and the future Russel Road are in Haldago County and are part of the Texas Department of Transportation's (TXDOT) District, and MPO Name find a rummary of the requested reclassification along with FHWA's determination below.

Facility	From	То	Miles	Current Functional Classification	Requested Functional Classification	FHWA Determination
Road	From limit	To limit	Length	Old	New	Result
Name	route	route	in miles	classification	classification	
Road	From limit	To limit	Length	Old	New	Result
Name	route	route	in miles	classification	classification	

Please note that all future routes are required to be to be separately classified as *Proposed* routes and properly reflected in the Statewide Planning Map. Once the road is built and open to traffic it may transition to existing routes. FHWA must be notified in writing for record purposes, and the Statewide Planning Map should also be undated, and a PDF provided.

If you have any questions, please contact Ms. Genevieve Bales at Genevieve Bales@dot.gov or 512-536-5941.

Sincerely yours,

Michael T. Leary

Michael T. Leary, Planning and Programming Director



125 E 11th St | Austin, Texas 78701 512.463.8588

September 8, 2024

Mr. Al Alonzi Division Administrator Federal Highway Administration Texas Division 300 East 8th Street, Suite 826 Austin, TX 78701

Attention: Krystal Lastrape

Dear Mr. Al Alonzi:

For your review and approval is the following Functional Classification (FC) request for [Route in County, MPO], located in the Texas Department of Transportation's (TxDOT) [XYZ District].

Roadway	From	To	Length (mi)	Rationale	Current FC	Requested FC
Route	From limit	To limit	Length in	Reason for	Old	New
Name	route	route	miles	change	Classification	Classification

This request proposes to functionally classify the segment of [Route from Route to Route] as [Functional Classification (capitalize)]. [Route is a new location facility.]

[Include any information that will make the packet self-explanatory, eliminating the need for additional explanations in emails. As per FRWA instructions on January 17, 2024, please delete this line if there is no special note indicated.]

Attached is the FC submittal for the roadway listed in the table above. This submission includes a map of the recommended FC and documentation from [the XYZ MPO, XYZ County, XYZ City] and TXDOT's [District] supporting these changes.

If you have any questions or need additional information, please contact Giacomo Yaquinto, Statewide Planning Branch Manager, at (737) 308-9411.

Sincerely,

Humberto Gonzalez, Jr., P.E., M.B.A.

Director, Transportation Planning and Programming Division





For your review and approval is the following Functional Classification (FC) request for [Route in County, MPO], located in the Texas Department of Transportation's (TxDOT) [XYZ District].

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Name	route	route	miles	change	Classification	Classification

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Thank you for your letter requesting classification of the Russel Road. We noted that Russel Road and the future Russel Road are in Hidalgo County and are part of the Texas Department of Transportation's (TxDOT). District , and MPO Name . Please find a summary of the requested reclassification along with FHWA's determination below.

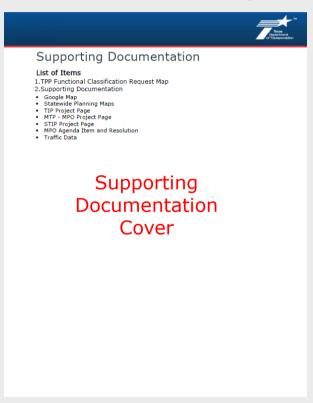
Facility	From	То	Miles	Current Functional Classification	Requested Functional Classification	FHWA Determination
Road Name	From limit route	To limit route	Length in miles	Old classification	New classification	Result
Road Name	From limit route	To limit route	Length in miles	Old classification	New classification	Result

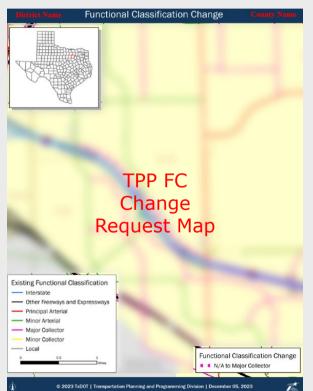
Please note that all future routes are required to be to be separately classified as *Proposed* routes and properly reflected in the Statewide Planning Map. Once the road is built and open to traffic it may transition to existing routes. FHWA must be notified in writing for record purposes, and the Statewide Planning Map should also be updated, and a PDF provided.

TxDOT Memo to FHWA

FHWA Memo to TxDOT







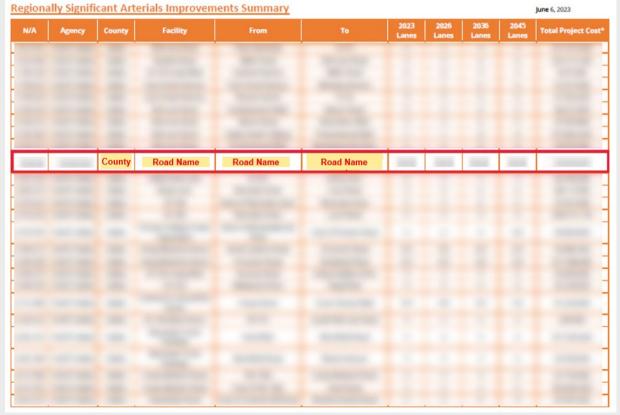






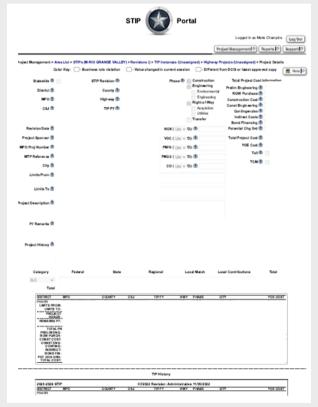


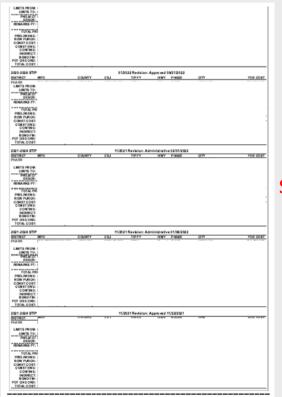




MTP Entry







STIP Approval



LECTRONIC ITEM 2

IINUTES

REGIONAL TRANSPORTATION COUNCIL

MPO Meeting Minutes

RESOLUTION 2022-07

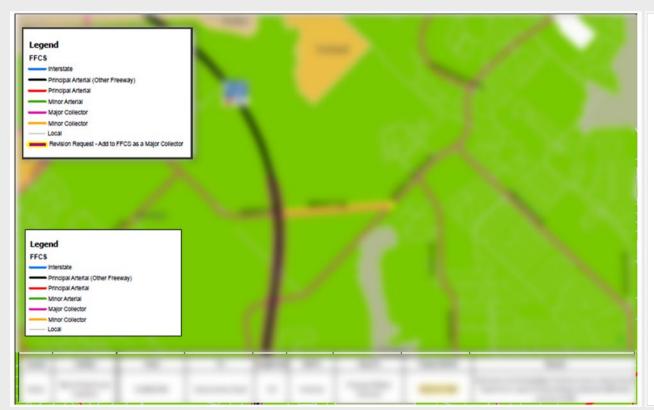
SUBJECT: APPROVAL OF FUNCTIONAL CLASSIFICATION OF

MPO Support Letter and Resolution



CHIRGGO OF THE INCOME OF FICTOR INTEGRAL BUILDINGS OF INTERIOR EQ., 2020. INTERIOR





District or Countyproduced Map

PLACEHOLDER FOR
COUNTY-PRODUCED
MAP
DOCUMENTATION





PLACEHOLDER FOR
TRAFFIC DATA
DOCUMENTATION

Traffic Data



Measuring Roadway Segments

How do I measure roadway segments?

- 1. Navigate to the TxDOT Statewide Planning Map.
- 2. Click the "Search" icon and type in the desired project location.
- 3. Click the "Distance Measurement" tool.
- 4. Click the starting and ending points of the road segments you want to measure.



Measuring Roadway Segments





Road Designation

- FC and Road Designation processes are not the same
 - Each process should be treated differently even though the timing of each can occur in parallel or in sequence.
 - Have the road designation or redesignation in place prior to requesting a FC change
- FC updates may be made between Census years
 - FC updates can be made any time that there is a change in traffic or land use that warrants a change in FC



Control Section Numbers

Control Sections

- Provide a convenient and reliable linear referencing method for locating features along a roadway.
- Generally, do not move or change, regardless of changes to the route name or number.

Control Section Numbers

- A control section number consists of six digits (e.g., 0115-02).
- The first four digits are the control number and can range from 0001-9999.
- The last two digits are the section number and can range from 01-99.
- Control-Section-Job (CSJ) numbers are associated with projects.

Control Section Maps

- Updated annually, typically mid-summer, following the publication of end-of-year data from the previous calendar year.
- Do not use old CSJs for new requests.



NHS and STRAHNET Modifications and FC Change Requests

FC changes may affect a segment of the National Highway System (NHS) or Strategic Highway Network (STRAHNET). While similar, FC, NHS, and STRAHNET designations differ in three fundamental ways:

- 1. FC is applied to all public roads.
- NHS designation is only applied to functionally classified Principal Arterials or intermodal facilities.
- 3. STRAHNET assets may be located on roadways of any FC.





NHS and STRAHNET Modifications and FC Change Requests





APPENDIX

References	
Federal Highway Administration, <u>Highway Functional</u> <u>Classification Concepts, Criteria, and Procedures (2023 Edition)</u> , 2023.	Texas Department of Transportation, <u>TPP Functional</u> <u>Classification Training Program</u> .
Code of Federal Regulations (CFR) 23 CFR § 470.103 (Definitions) 23 CFR § 470.105 (Urban area boundaries and highway functional classification) 23 CFR § 470.107 (Federal-aid highway systems)	Texas Department of Transportation, Functional Classification Change Requests, Form 2373 Checklist.
Texas Department of Transportation, <u>Federal Functional</u> <u>Classification Standard Operating Procedure</u> , 2024.	Texas Department of Transportation, Functional Classification Change Requests, Form 2373 Attachment.
Texas Department of Transportation, <u>TPP FC SharePoint Site</u> .	Texas Department of Transportation, Functional Classification Change, <u>Frequently Asked Questions</u> .
Texas Department of Transportation, <u>Functional</u> <u>Classification Change Requests Web Page</u> .	Virginia Department of Transportation, <u>Functional</u> <u>Classification Comprehensive Guide</u> , 2014
Texas Department of Transportation, <u>Statewide Planning</u> <u>Map Website</u> .	California Department of Transportation, <i>Introduction to Functional Classification</i> , 2020.
Texas Department of Transportation, <u>TxDOT Map or Data</u> <u>Request Website</u> .	



Group Discussion

Pending FC Change Requests





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