

**The Southern Gateway Project
RFQ Q&A Matrix No. 1
June 9, 2016**

| No. | Document | Section and Page | Question/Comment | Response |
|-----|----------|-----------------------------|--|--|
| 1 | RFQ | General | If the information on forms are not changed, will TxDOT allow forms to be submitted with previous addendum numbers, if a late addendum is issued and all forms are executed? | Yes. So long as the form is unchanged by any late addendum, an earlier executed version of the form may be submitted instead. Please see clarification in Addendum 1 to the RFQ. |
| 2 | RFQ | Part A, Section 5.1, Page 9 | Can an Equity Member of a Joint Venture submit key personnel if the Equity Member will perform less than 30% of the relevant work on the Southern Gateway Project? | Any Equity Member (as defined in Part B, Section 1 of the RFQ) can employ any Key Personnel, except where specific employment requirements are separately set forth in the description of such Key Personnel in Part B, Volume 1, Section H of the RFQ. |
| 3 | RFQ | Part A, Section 5.1, Page 9 | Can an Equity Member of a Joint Venture submit a project on Form D-2 if the Equity Member will perform less than 30% of the relevant work on the Southern Gateway Project? | No. Please see Part A, Sec. 5.1 of the RFQ, which requires all project experience to be provided by the Lead Engineering Firm and the Lead Contractor (regardless of whether they are Equity Members) and specifically states that if the Lead Engineering Firm or the Lead Contractor is a joint venture, it must be experience from a member of such joint venture that will perform at least thirty percent (30%) of the relevant work or a controlled subsidiary of such joint venture member. |

**The Southern Gateway Project
RFQ Q&A Matrix No. 1
June 9, 2016**

| No. | Document | Section and Page | Question/Comment | Response |
|-----|----------|--|--|--|
| 4 | RFQ | Part A, Section 5.1, Page 9 | <p>States that: "Except as otherwise provided in the specific description of each Key Personnel position in Part B, Key Personnel must be employed by: (a) an Equity Member, Lead Engineering Firm or Lead Contractor; (b) a controlled subsidiary of such Equity Member, Lead Engineering Firm or Lead Contractor; (c) if the Lead Engineering Firm or Lead Contractor is a joint venture, a member of such joint venture that will perform at least thirty percent (30%) of the relevant work or a controlled subsidiary of such joint venture member; or (d) a parent company of an Equity Member."</p> <p>Proposer requests that the Key Personnel position of Environmental Compliance Manager in Part B maybe an employee of an independent firm working for Proposer/Lead Contractor:</p> | <p>Yes. Please see clarification in the description of Environmental Compliance Manager in Part B, Volume 1, Section H in Addendum 1 to the RFQ noting that the Environmental Compliance Manager may be employed by a first tier subcontractor to either the DB Contractor or the Lead Contractor in addition to the other entities identified in Part A, Section 5.1 of the RFQ that are permitted to employ Key Personnel.</p> |
| 5 | RFQ | Part B, Definition of Proposer Team Members, Page 19 | <p>On Form B-1, there is an option to select "Proposer," but there is not a definition of the Proposer listed on page 19, Part B. Will TxDOT please provide a definition for the Proposer?</p> | <p>Proposer is defined in Part A, Section 1, as the entity that will design, construct and potentially maintain the Project.</p> |

**The Southern Gateway Project
RFQ Q&A Matrix No. 1
June 9, 2016**

| No. | Document | Section and Page | Question/Comment | Response |
|-----|----------|---|---|---|
| 6 | RFQ | Part B, Section 2, Page 23 | The instructions for Form B-1 on Page 23 of the RFQ require us to have it completed by the Proposer, each Equity Member, each Major Non-Equity Member, each Guarantor, each Construction Team Member. However, Section 2. Organization on Page 20 of the RFQ identifies Form B-1 as being required for all Proposer team members. Please confirm that Form B-1 is only required for the Proposer, each Equity Member, each Major Non-Equity Member, each Guarantor and each Construction Team Member. | This is correct. Please see the revision to Section 2, Organization in Addendum 1 to the RFQ reflecting this clarification. Please also note that more than one check-box on Form B-1 may apply to a single entity. For example, a firm may be both the Lead Contractor and an Equity Member. |
| 7 | RFQ | Part B, Point 2, Volume 1, Section H, Page 29 | Please confirm, the Professional Quality Assurance Manager and construction Quality Assurance Manager key personnel can be employed by the same independent Quality Assurance Firm. | Yes. The Professional Services Quality Assurance Firm and the Construction Quality Assurance Firm may be the same independent entity. |
| 8 | RFQ | RFQ, Part C, Exhibit A Page 1; RFQ RIDs, Sheet 4 of Draft In-Progress 2016 Schematics | Please confirm the southern-most "start construction" Station along the baseline of IH-35 GP. The map of the RFQ's Part C, Exhibit A does not include the small extension to the south of the 35/67 intersection (along 35). | The draft schematic located in the RID shows the start of full reconstruction along I-35E south of the I-35E/US 67 interchange to be at approximately Sta. 540+00. See the clarifying change to Part C, Exhibit A in the addendum to the RFQ. |
| 9 | RFQ | Part C, Form E | Are we allowed to include additional project information on Form E, Project Description Form, as long as we do not exceed two pages? | No. In accordance with Part B, Volume 1, Section E(b) of the RFQ, please provide only the information requested in Form E. |