



DB Contractor's Project Management Plan

Alternative Delivery Division

Rev. 01
Released: 11/20/2024



DB Contractor's Project Management Plan

This is a self-directed overview of Design-Build contracting based on Version 6.0 of the Programmatic Documents

The object shown below on a slide provides reference to the Section of the Programmatic Documents

Sample

 **Contract Reference: DBA GC, Sec. XXX**



DB Contractor's Project Management Plan

Training Goals:

1

Understand why the PMP is important in developing and implementing design-build projects.

2

Present the components of a design-build Project Management Plan (PMP).

3

Understand DB Contractor's role in preparing and TxDOT's role in reviewing and approving a PMP.



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1 Project Management Plan (PMP) Prior to Final Award

Project Management

- DB Contractor submits Project Management Value-Added Responses (VARs).

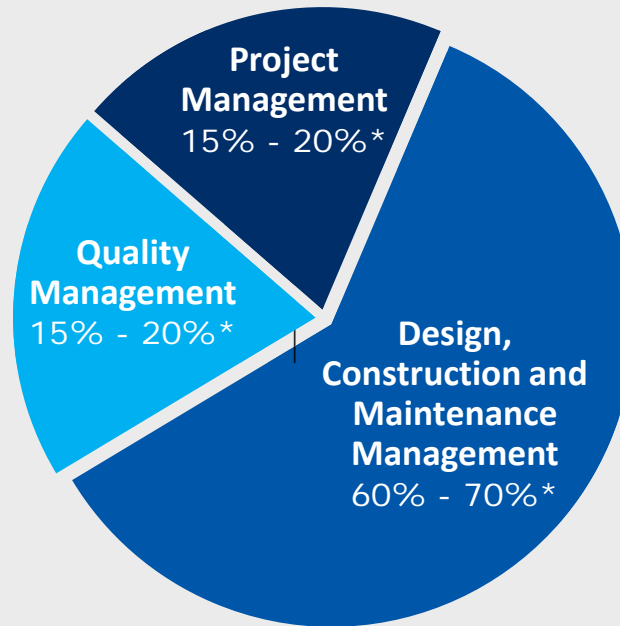
Quality Management

- DB Contractor submits Quality Management Value-Added Responses (VARs).

Design, Construction & Maintenance Management

- Technical approach to engineering, construction methods, and Schedule

Technical Proposal (TP) contains



- Project Management VARs submitted in the Technical Proposal are incorporated into the PMP.
- TxDOT evaluates the VARs based on the extent to which the VARs provide benefits or value to TxDOT and/or results in improvements in implementation for the project.

(*) Technical Scores Maximum Qualitative Rating

Evaluation of Technical Proposals



★ *Contract Reference: ITP, Sec. 5.4*

Project Management

- The Technical Proposal Evaluation Subcommittee (TPES) will assign a single qualitative rating for the Project Management VARs.
- VARs are rated based on the added benefits or value to TxDOT and/or results in improvements in implementation over and above the minimum contract requirements.



ADJECTIVAL RATING	DESCRIPTION	
	Project Management / Quality Management	Design, Construction and Maintenance Plan (DCM Plan)
Excellent	The Project Management/Quality Management VARs provide superior benefits and value and/or result in outstanding improvements in implementation or level of overall quality of the Project. There are no questions, concerns or weaknesses.	The DCM Plan greatly exceeds the requirements of the evaluation subfactor and provides superior benefits and value, and/or results in outstanding improvements in implementation, maintenance and level of overall quality of the Project. There are no questions, concerns or weaknesses.
Very Good	The Project Management/Quality Management VARs provide significant benefits and value and/or result in meaningful improvements in implementation or level of overall quality of the Project. Questions, concerns or weaknesses are very minor.	The DCM Plan exceeds the requirements of the evaluation subfactor and provides significant benefits and value, and/or results in meaningful improvements in implementation, maintenance and level of overall quality for the Project. Questions, concerns or weaknesses are very minor.
Good	The Project Management/Quality Management VARs provide added benefits and value and/or result in improvements in implementation or level of overall quality of the Project. Questions, concerns or weaknesses are minor.	The DCM Plan exceeds the requirements of the evaluation subfactor and provides added benefits and value, and/or results in improvements in implementation, maintenance and level of overall quality for the Project. Questions, concerns or weaknesses are minor.
Meets Minimum	There are no Project Management/Quality Management VARs that provide added benefits and value or result in improvement in the	The DCM Plan is responsive and meets the minimum requirements of the evaluation subfactor. There are no unique or innovative

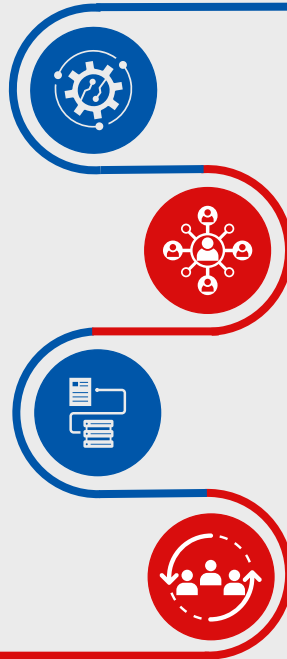
Initial Project Management Plan (PMP)



★ **Contract Reference:**
ITP, Sec. 5.12.4

Within 45 days after project Conditional Award, the successful Proposer submits an initial PMP for TxDOT review.

TxDOT may provide comments on the initial PMP within 21 days of receiving the plans, or an alternate timeframe as agreed to by the Parties.



DB Contractor addresses comments on the initial PMP prior to submitting the PMP as described in Sections 4.2 of the DBA General Conditions.

Prior to Final Award, TxDOT reviews the initial PMP to understand the successful Proposer's overall approach and organization to manage the project.

Initial Project Management Plan (PMP)



Contract Reference:
ITP, Exhibit B, Sec. 4.1



DB Contractor's
managerial
approach, strategy,
and procedures

Proposer describes in detail any Proposal commitments by the Proposer that exceed the PMP requirements

- May include VARs describing in detail services, performance or other commitments exceeding PMP project requirements.
- PMP VARs should be **concrete, measurable and enforceable** proposal commitments that provide added benefit and value to TxDOT and the public, or improvement in implementation of the project.
- Typically contains these plans:

- Project Administration
- Risk Management
- Utility Management
- ROW Acquisition
- Other Affected Third Parties
- Public Information and Communications

- Safety and Health
- Traffic Management
- Maintenance Management
- Comprehensive Environmental
- TxDOT – DB Contractor Communications

Initial Project Management Plan (PMP)



Contract Reference:
ITP, Exhibit L-1

- The initial PMP submittal is intended to serve as the basis for preparing the PMP upon execution of the DB Contract.

- The format outline defined in Exhibit L-1 is used for preparing this initial PMP submittal.


Part 1: Project Administration Plan		Audit Reviews
Subsection	Requirements	
General		Describe the process and timing for auditing of the plan including the roles and responsibilities of the personnel involved in performing the audits to ensure compliance with plan requirements and effectiveness in meeting the plan's goals.
Exhibit L-1		
INITIAL PROJECT MANAGEMENT PLAN (PMP) SUBMITTAL REQUIREMENTS		
<p>This initial PMP submittal shall consist of the following component parts in the following order:</p> <ul style="list-style-type: none"> Part 1: Project Administration Plan Part 2: Public Information and Communications Plan Part 3: Safety and Health Plan Part 4: Comprehensive Environmental Protection Plan Part 5: TxDOT - DB Contractor Communications Plan Part 6: Affected Third Parties Plan Part 7: Risk Management Plan Part 8: Utility Management Plan Part 9: Right of Way Acquisition Management Plan Part 10: Traffic Management Plan Part 11: Maintenance Management Plan 		
<p>Each of the component parts shall include the following sections in the following order:</p> <ul style="list-style-type: none"> Section 1: General Section 2: Proposal Commitments Section 3: Personnel and Organizational Structure Section 4: Training Section 5: Implementation Approach Section 6: Quality Control (QC)/Independent Quality Assurance (IQA) Section 7: Audit Reviews Section 8: Document Management 		
<p>This initial PMP submittal is intended to serve as the basis for preparing the PMP upon execution of the DBC. The format outline defined below shall be used for preparing this initial PMP submittal and the PMP. The PMP shall be submitted after the Effective Date as a condition precedent for issuance of NTP2.</p> <p>DB Contractor is required to prepare the component plans of this initial PMP submittal for TxDOT's review and comment by satisfactorily addressing the requirements for the plan components in the table below. The requirements for preparing the initial PMP are based on the requirements for preparing the PMP in Section 4.2 of the DBA General Conditions, which have been consolidated and reorganized in this Exhibit L-1 into the prescribed format and structure defined below with the goal of assisting the DB Contractor prepare the PMP Submittals and facilitate review and approval of the PMP by TxDOT.</p> <p>The DB Contractor shall refer to the requirements in Section 4.2 of the DBA General Conditions to assist in addressing the requirements in the table below and in preparing the initial component plans.</p> <p>In accordance with ITP Section 5.12.4, the Proposer selected for Conditional Award shall submit this initial PMP submittal for TxDOT review and comment and shall address TxDOT comments to the satisfaction of TxDOT prior to submitting the PMP for TxDOT review and approval.</p>		
		<p>will be processed, stored, Contract Documents</p> <p>the Public Information and educate, and engage the</p> <p>communications goals and ongrat the Project team with the plan</p> <p>respect to the plan and specify</p> <p>incorporated into the Work and commitment has been fulfilled</p> <p>all implement, maintain, and ns Plan policies, plans, formation and</p> <p>ies of the key management</p> <p>ctors, TxDOT, and their rsonnel</p> <p>vide relevant training for at all employees understand & communications program</p> <p>edia, and other Customer s, such as Governmental</p> <p>ment activities, the frequency in that will be used.</p> <p>the support of Customer groups</p> <p>urance measures that will be pletion, and quality in Customer Groups</p> <p>endent quality assurance of</p> <p>plan including the roles and rang the audits to ensure ess in meeting the plan's</p> <p>will be processed, stored, Contract Documents</p> <p>the Safety and Health Plan.</p>
<p>Texas Department of Transportation [Project Name] [Date]</p>		<p>Request for Proposals Instructions to Proposers Ex. L-1 Version 6.0 - November 2023</p>




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2 Project Management Plan (PMP) Post Final Award


DB Contractor's Activities after Project Award




Notice to Proceed 1 (NTP1)



- DB Contractor begins project-initiation activities before the commencement of design and construction.
- A Maximum Payment Amount is placed on the initial work until PBS2 is approved by TxDOT and NTP2 is issued.
- Approval of these four items is required before NTP2 is issued:
 - **Project Management Plan (PMP)**
 - Quality Management Plan (QMP)
 - Project Baseline Schedule 2
 - Availability of Core and Field-Office Space



Notice to Proceed 2 (NTP2)



Definition of a Project Management Plan

 *Contract Reference: DBA GC, Sec. 1.2.2 and 4.2*

The PMP is a formal document that details how DB Contractor plans to execute, monitor, control, and close the project.



The PMP should achieve all requirements of the Contract Documents and is a living document for the duration of the project.



The project management effort is defined by and follows the PMP, which consists of project administration requirements and a collection of several management plan components.



The PMP is prepared by DB Contractor and reviewed and approved by TxDOT.

Definition of a Project Management Plan



★ *Contract Reference: DBA GC, Sec. 1.2.2 and 4.2*

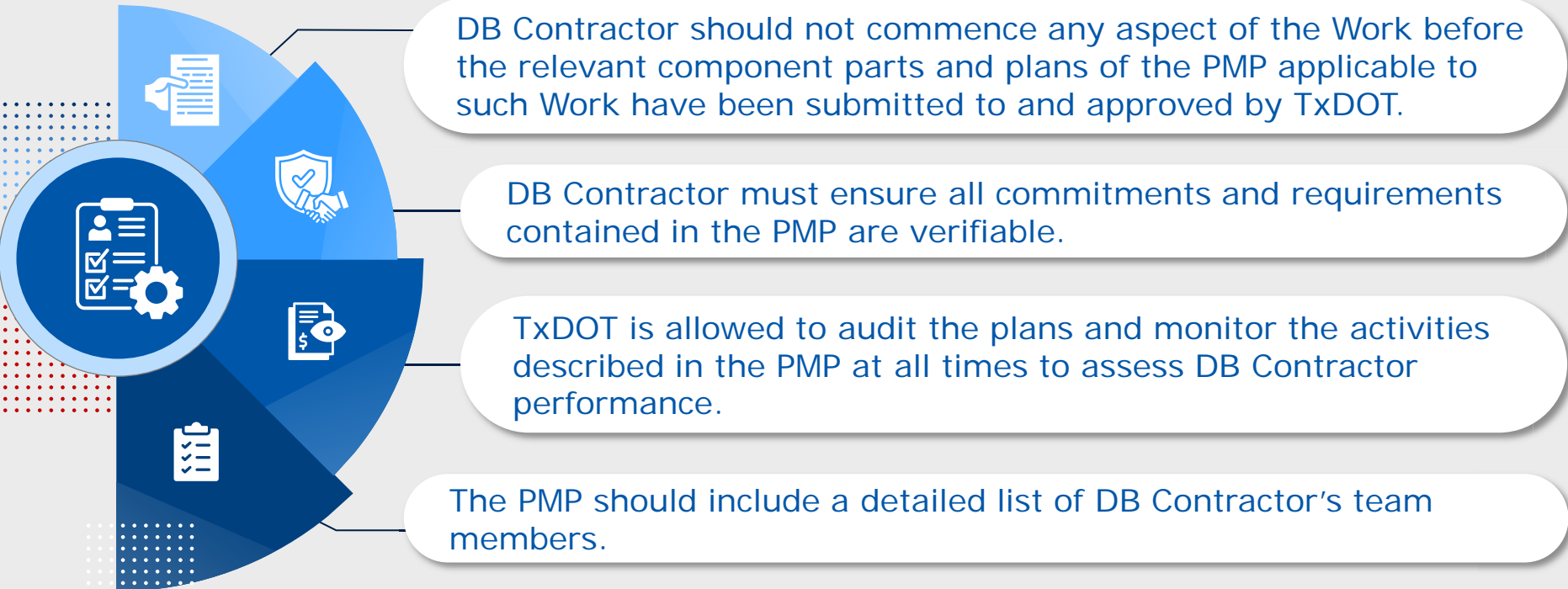
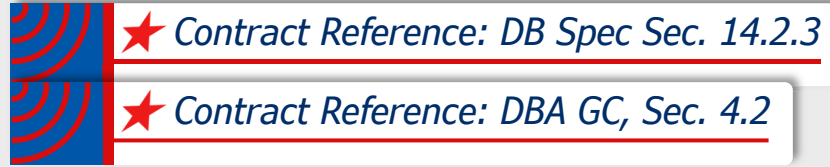
The PMP is an umbrella document that describes DB Contractor's:

comprehensive plan for effectively organizing, developing and managing all elements of the work.


approach and processes to manage project risks.

subcontractors' compliance with the applicable requirements of the approved PMP at every level.

Requirements of the Project Management Plan

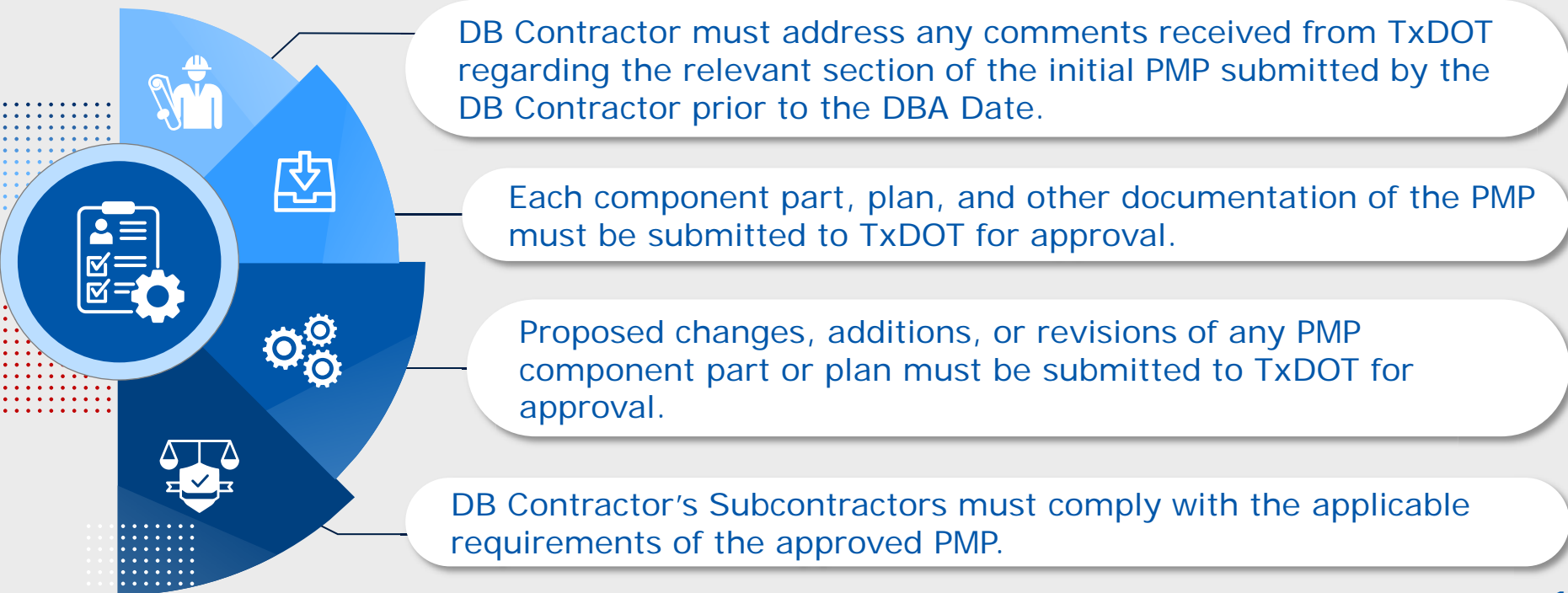


Requirements of the Project Management Plan continued

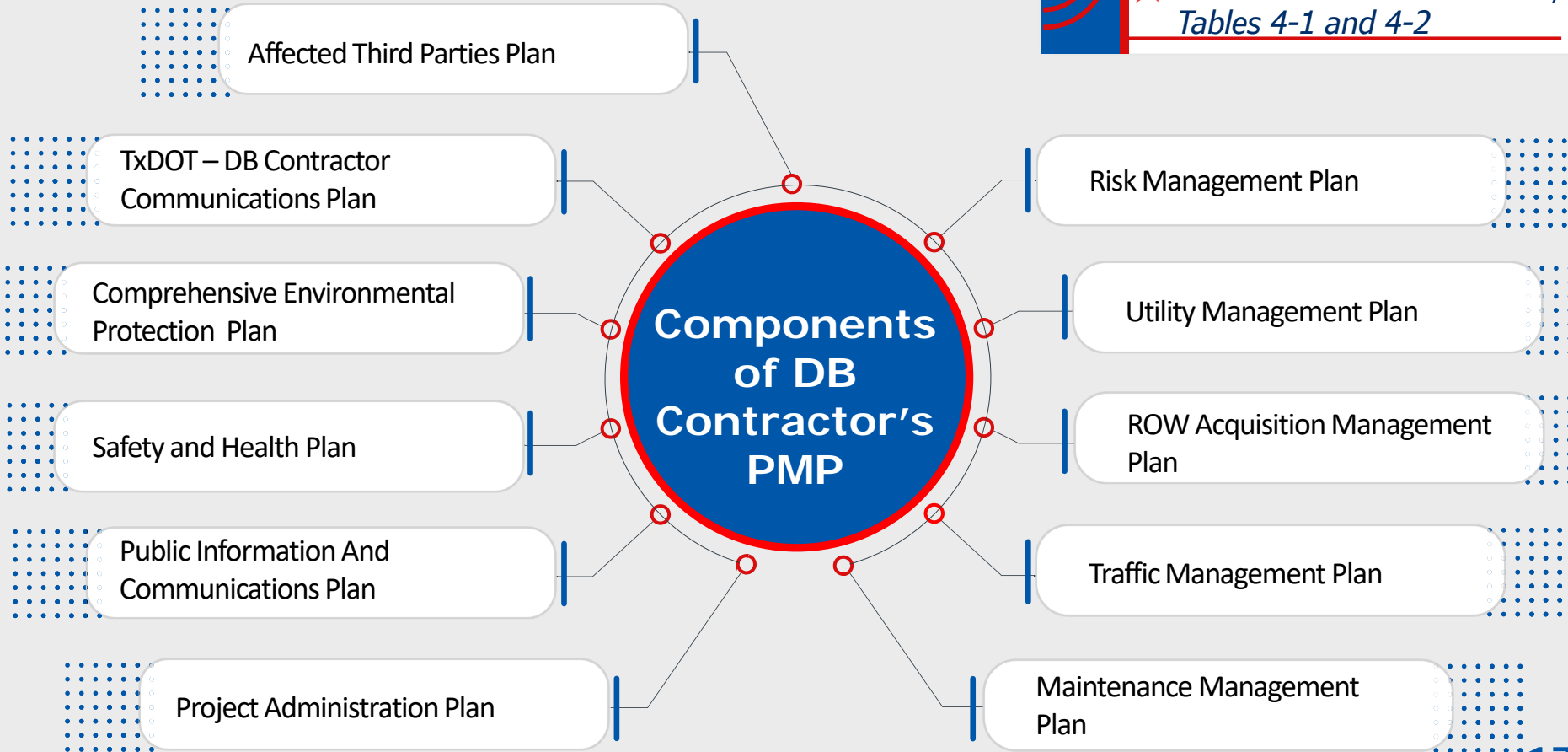


★ Contract Reference: DB Spec Sec. 14.2.3


★ Contract Reference: DBA GC, Sec. 4.2



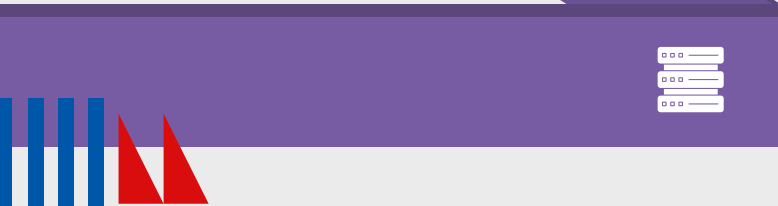
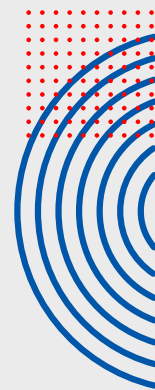
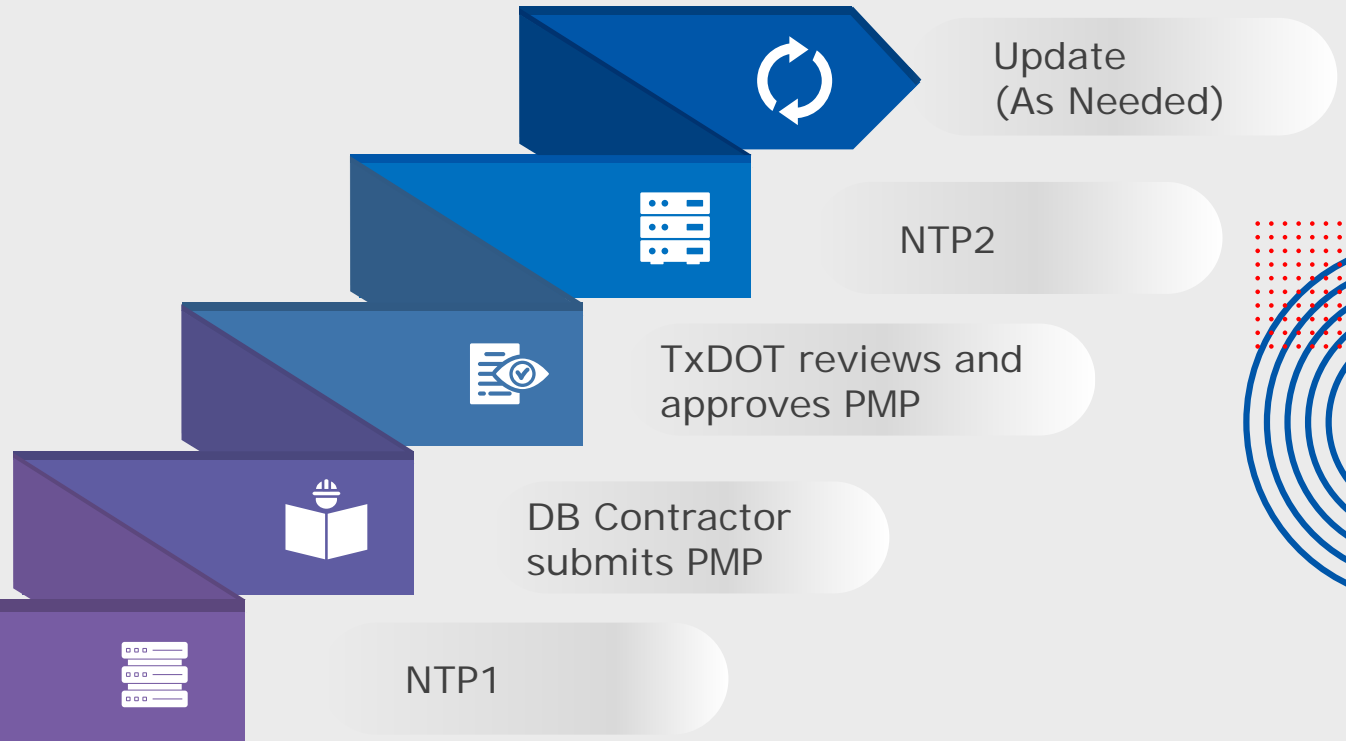
★ *Contract Reference: DBA GC, Tables 4-1 and 4-2*



Review and Approval of the PMP

 *Contract Reference: DBA GC, Sec. 8.1.1.3, 8.1.1.4 and 8.1.1.6*

DB Contractor may request that TxDOT issue NTP2 prior to the approval of all the component parts, plans, and documentation of the PMP.





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3 Plans and Components of the PMP

Project Administration Component of the PMP

 *Contract Reference:
DBA GC, Sec. 4.2.1*

- Submittal after NTP1.
- TxDOT Approval is a Condition to Issuance of NTP2.

1

contains component procedures for updating all components of the PMP and quality control to establish and encourage continuous improvement:

- Procedures for preparation of amendments and submission of amendments to any part of the PMP;
- (b) Auditing and management review of DB Contractor's own activities under the PMP;
- (c) Auditing and management review of Subcontractor's activities and management procedures; and
- (d) Procedures to facilitate review and audit by TxDOT and consultants.

2

contains procedures for preparing required Plans not specifically stated in DBA GC Section 4.2 inclusive of the PMP, e.g. Staff Training Plan.

Project Administration Component of the PMP



★ Contract Reference:
DBA GC, Sec. 4.2.1.1 & 4.2.1.2

Project Administration component of the PMP should identify:

Management Organization and Personnel

- Contains DB Contractor's organizational diagram and the names, contact detail, titles, and job descriptions of Key Personnel and any other DB Contractor principal personnel.
- Contains procedures to establish how DB Contractor will manage Subcontractors.
- Personnel:
 - DB Contractor Project Manager
 - Design Manager
 - Construction Manager

Document Management

- Document and Records Storage and Retrieval Requirements:
 - All Submittals shall be submitted to TxDOT through TxDOT's Electronic Content Management System (ECMS)
- Professional Services Submittal Requirements:
 - Each Submittal shall be full and complete and shall be assigned a unique, sequential number, clearly noted on the transmittal cover sheet.

Public Information and Communications Plan (PICP)

 *Contract Reference:
DBA GC, Sec. 4.2.2***1**

Based upon the preliminary PICP submitted by DB Contractor prior to the DBA Date.

2

Following issuance of NTP1, DB Contractor organizes a communications-planning workshop with TxDOT to discuss the development of the PICP and to ensure the contents of the PICP meet TxDOT expectations.

3

Contains DB Contractor's organizational diagram and the names, contact detail, titles, and job descriptions of Key Personnel and any other DB Contractor principal personnel.

4

Contains procedures to establish how DB Contractor manages Subcontractors.

Public Information and Communications Plan (PICP)

 **Contract Reference:**
DBA GC, Sec. 4.2.2

The PICP should contain the following provisions:

- 1 Organization structure and contractual agreements
- 2 Personnel information and details
- 3 Subcontractors control procedures
- 4 Interfaces with the public and stakeholders
- 5 Procedures describing how the principal activities will be performed
- 6 Quality control procedures
- 7 Audits: roles and responsibilities
- 8 Document management procedures

- The PICP should be flexible enough to capture the full magnitude of yet-to-be-determined impacts from project activities and the public's reaction to these and other impacts.
- The PICP contains a general timeline that lists public information activities throughout the project.



Safety and Health Plan (SHP)

 **Contract Reference:**
DBA GC, Sec. 4.2.3







- The SHP fully describes DB Contractor's policies, plans, training programs, work-site controls, and incident-response plans.
- The SHP covers all phases of the Work.
- DB Contractor reviews, evaluates, and updates the SHP as often as necessary to reflect relevant changes during the Project's term.
- DB Contractor identifies the personnel and responsible staff who will implement, maintain, and enforce the SHP policies, plans, and training programs.
- TxDOT Approval of the SHP is a Condition to Issuance of NTP2.



Safety and Health Plan (SHP)

 **Contract Reference:**
DBA GC, Sec. 4.2.3

The SHP contains the following provisions:

-  Safety Management
-  Worksite and Jobsite Analysis
-  Hazard Prevention and Personal Safety
-  Training
-  Drug-Free Work Zone
-  Incident and Emergency Management



Comprehensive Environmental Protection Plan (CEPP)

 **Contract Reference:**
DBA GC, Sec. 4.2.4

 CEPP is an overarching plan by which the DB Contractor will meet all Environmental Commitments made during the Environmental Approval and permitting processes and any other environmental requirements.

- TxDOT Approval of the CEPP is a Condition to Issuance of NTP2.
- TxDOT Approval is a Condition to Commencement of Construction.
- The CEPP contains the following component parts:



Comprehensive Environmental Protection Plan (CEPP)

 **Contract Reference:**
DBA GC, Sec. 4.2.4



The CEPP satisfies applicable FHWA, TxDOT, and resource-agency requirements including those detailed as commitments in any Environmental Approvals.



DB Contractor must amend and update the CEPP as necessary to address changing conditions and environmental requirements.



All component parts are reflected in the order of priority: impact avoidance, minimization, and as a last resort, mitigation.



The CEPP is developed in the form of a comprehensive environmental-management program incorporating all features and guidelines outlined in ISO 14001.



TxDOT-DB Contractor Communications Plan (TX-DBCCP)



★ *Contract Reference:
DBA GC, Sec. 4.2.5*

- The TX-DBCCP describes the procedures for communication of the project information including notification of incidents affecting the project or the traveling public between DB Contractor's organization and TxDOT.
- DB Contractor maintains and updates the TX-DBCCP throughout the duration of the project.
- TxDOT Approval of the TX-DBCCP is a Condition to Issuance of NTP2.

The TX-DBCCP should describe DB Contractor's response to:

- Unexpected requests for information.
- Communicating changes or revisions to necessary DB Contractor personnel.
- Notifying affected stakeholders before and after changes are made to the Contract Documents.

Affected Third Parties Plan (ATPP)

TxDOT Approval of the ATPP is a Condition to Issuance of NTP2.



★ Contract Reference:
DBA GC, Sec. 4.2.6



The ATPP describes how the DB Contractor will mitigate the impact of the Work upon potentially-impacted third parties.



The ATPP must be approved prior to DB Contractor initiating discussions with potentially-impacted third parties.



DB Contractor updates and submits the ATPP monthly as part of the Draw Request.



Coordination with third parties may include, but is not limited to, impacts to ROW, utilities, drainage, parks, railroads, and ports.

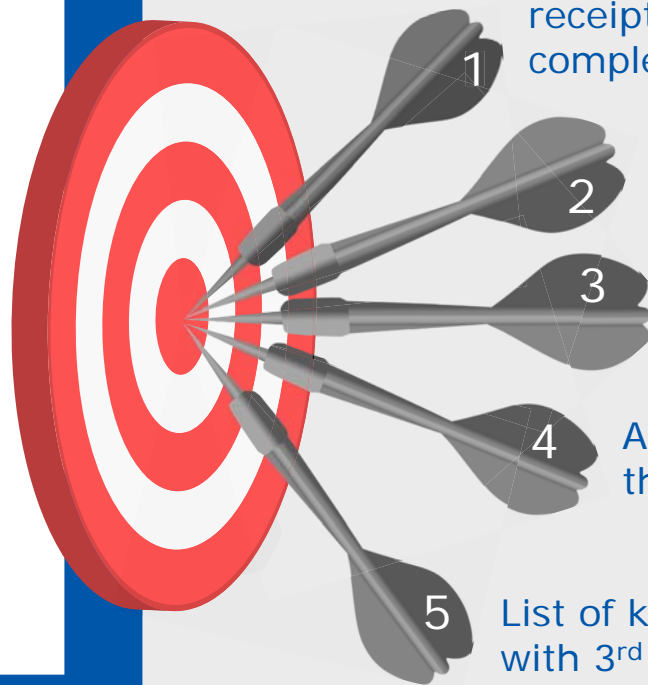


Affected Third Parties Plan (ATPP)



★ Contract Reference:
DBA GC, Sec. 4.2.6

The ATPP should include the following:



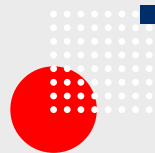
1 Detailed schedule identifying the anticipated date of receipt of all permits and approvals required for the completion of Work.

2 Description of Work interfaces.

3 Contact information for each third party.

4 A detailed list of all known or anticipated third-party required submittals.

5 List of known and anticipated impacts and interfaces with 3rd parties along with a mitigation plan.



Risk Management Plan (RMP)

TxDOT Approval of the RMP is a Condition to Issuance of NTP2.

 **Contract Reference:**
DBA GC, Sec. 4.2.7

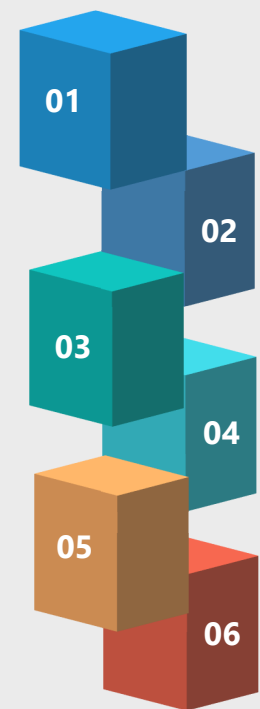
- The RMP describes the approach to identification, management, mitigation, and allocation of Project-specific risks.
- The RMP should include/describe:



DB Contractor's management team's role and responsibilities in Risk Management (RM).

A detailed work plan and schedule for proposed meetings to discuss RM.

How will risks be quantified for potential cost and/or schedule impact.



How DB Contractor will engage with TxDOT and Project stakeholders in managing risks.

Strategies for controlling and managing Project risks.

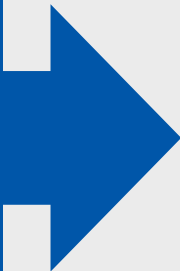
Strategies to allocate risk to the parties best able to manage its impact.



Risk Management Plan (RMP) continued

 **Contract Reference:**
DBA GC, Sec. 4.2.7

- The RMP describes the approach to identification, management, mitigation, and allocation of Project-specific risks.
- The RMP should include/describe:



Significant risk categories during the Project's phases.

The probable likelihood of risks.

Risk-mitigation strategies to eliminate or reduce the likelihood and impact of specific risks.



The prioritized potential consequences of the identified risks.

Proposed procedures and tools to conduct a risk-sensitivity analysis.

Contingency plans to cover the remaining and/or unknown risks.

Utility Management Plan(UMP)



*Contract Reference:
DBA GC, Sec. 4.2.8*

- The UMP must be submitted to TxDOT no later than 30 days after NTP1.
- TxDOT Approval of the UMP is a Condition to Issuance of NTP2.
- TxDOT approval of the UMP is a condition to the commencement of the Design Work.
- The UMP should include the following:

- 1 DB Contractor's detailed organization structure.
- 2 Qualifications of Utility Key Personnel and other Utility personnel.
- 3 Integration of the Utility Adjustment Work in the Project Baseline Schedule.
- 4 Procedures to address a Utility Adjustment Field Modification (UAFM).
- 5 Emergency procedures with respect to Utility Adjustment Work.

- 6 Utility Adjustment Field Modifications.
- 7 A Utility strip map.
- 8 An Inspection of the Utility Owner construction.
- 9 Quality control/quality assurance.
- 10 Procedures for coordination with Utility Owners to obtain Utility Assemblies and establishing procedures for Utility Adjustment Concept Plans.
- 11 Close-out procedures.

Right of Way Acquisition Management Plan (ROWAMP) (If Applicable)



★ *Contract Reference:
DBA GC, Sec. 4.2.9*



- TxDOT Approval of the ROWAMP is a Condition to Issuance of NTP2.
- TxDOT Approval of the ROWAMP is a Condition to Commencement of Construction.
- The DB Contractor updates the ROWAMP regularly (at least quarterly) in accordance with the Contract Documents and when any changes occur.

The ROWAMP contains the following:

1. The name of the TxDOT-approved title company(s) to be used for title services.
2. The name and qualifications of the proposed ROW Acquisition Manager.
3. Resumes and qualifications.

Right of Way Acquisition Management Plan (ROWAMP)

 *Contract Reference:
DBA GC, Sec. 4.2.9*

The ROWAMP describes:

- DB Contractor's main contractual arrangements.
- DB Contractor's organizational structure.
- DB Contractor's organization, including names, contact details, titles, job roles, and qualifications.
- Integration of the Project ROW acquisition schedule into the Project Baseline Schedule.
- Interface between the DB Contractor, Subcontractors, and the PSQAF during the Project ROW acquisition.
- Responsibilities of Subcontractors and Affiliates.
- Procedures describing how the principal activities will be performed during the Project ROW acquisition.
- Documentation and reporting, including management procedures.
- Quality control procedures and quality review standards.
- Audit procedures, including the name, title, roles, and responsibilities of supporting quality-management staff.




Right of Way Acquisition Management Plan (ROWAMP)



★ *Contract Reference:
DBA GC, Sec. 4.2.9*



 The ROWAMP describes the specific means by which DB Contractor:

- Provides sufficient personnel to achieve the established goals and milestones.
- Provides administrative support.
- Provides documentation, reports, and the manner in which records will be maintained.
- Produces and distribute acquisition and relocation brochures as approved by TxDOT.
- Prevents fraud, waste, and mismanagement.
- Establishes, implements, and maintains QC procedures and quality-review standards for the acquisition of the Project ROW.



Traffic Management Plan (TMP)

- The TMP contains descriptions of the qualifications and duties of personnel with traffic control responsibilities.
- TxDOT Approval of the TMP is a Condition to Issuance of NTP2.
- The TMP contains procedures for:

 **Contract Reference:**
DBA GC, Sec. 4.2.10



1 Identifying and incorporating the needs of project's stakeholders.

2 Developing Traffic Control Plans (TCPs).

3 Obtaining approval of TCPs from TxDOT and applicable Governmental Entities.



4 Transitions from one phase of construction to the next and from temporary to permanent.

5 Maintenance and replacement of traffic control devices, etc.

Traffic Management Plan (TMP) continued

 **Contract Reference:**
DBA GC, Sec. 4.2.10



- 6** Regular evaluation and modification of traffic signal timings.
- 7** Development, implementation, testing, and maintenance of all affected signals.
- 8** Quality-control procedures and quality-review standards.
- 9** Safe work zone ingress and egress.



- 10** Auditing, including name, title, roles, and responsibilities of supporting quality management staff.
- 11** Modifying TCPs as needed to adapt to current Project circumstances.
- 12** Procedures for night Work.

Maintenance Management Plan (MMP)

TxDOT Approval of the MMP is a Condition to Issuance of NTP2



★ Contract Reference: DBA GC, Sec. 4.2.11

★ Contract Reference: DBA Spec Sec. 27.1.1

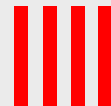
The MMP is a guidance document that describes DB Contractor's managerial approach, strategy, and quality procedures for the Maintenance Work.



The MMP may cross reference to appropriate sections of the PMP and should be consistent with the general maintenance obligations.



The MMP during construction is applicable to the Maintenance Work and comes into effect upon the issuance of NTP2 and will remain in force until the Final Acceptance.



Maintenance Management Plan (MMP)

- ★ Contract Reference: DBA GC, Sec. 4.2.11
- ★ Contract Reference: DBA Spec Sec. 27.1.1

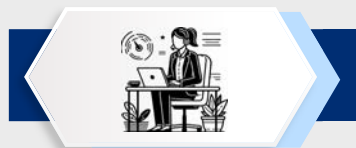
The MMP is consistent with the following general maintenance obligations including:



Minimize delay and inconvenience to users.



Conduct daily patrols of all the lanes of the Project within the Maintenance Limits.



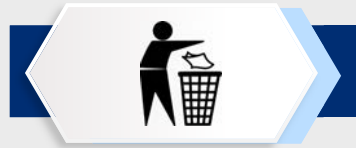
Develop, maintain, and implement a Maintenance Management System (MMS).



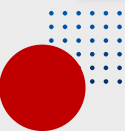
Mitigate hazards and permanently repair all defects.



Promptly investigate reports or complaints received from all sources.



Remove debris, and abandoned vehicles or equipment from the Project ROW.





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4 Other PMP-Related Requirements

Other PMP-Related Requirements



*Contract Reference:
DBA GC, Sec. 5.11.3.1*

The PMP should establish procedures and schedules for preparing a Punch List and completing Punch List work.



*Contract Reference:
DBA GC, Sec. 5.12.1.2*

All component parts, plans, and documentation of the PMP should be submitted and approved prior to Final Acceptance.



*Contract Reference:
DBA GC, Sec. 5.13.5.2*

TxDOT will have the right to audit the PMP and compliance therewith.



*Contract Reference:
DBA GC, Sec. 5.13.5.7*

The PMP should include DB Contractor's internal and third-party quality and compliance auditing responsibilities.



Other PMP-Related Requirements



*Contract Reference:
DBA GC, Sec. 7.8.2.4*

If a Change of Control occurs during the project term, each transferee will take DB Contractor's interest subject to and will be bound by the PMP.



*Contract Reference:
DB Spec, Item 22,
Sec. 22.1*

DB Contractor's PMP should describe the approach, procedures, and methods for the rail-corridor design and construction.



*Contract Reference:
DBA, Sec. 1.3.6*

In the event of any conflict, ambiguity, or inconsistency between the PMP and any of the Contract Documents, the latter takes precedence and control.





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8 Noncompliance with PMP Requirements

★ *Contract Reference: DBA Exhibit 16, Attachment 1*

PMP Noncompliance Points

QMP-Related Items From the NCE Table:

Note that use of the Noncompliance Points System on a project is at the discretion of the district.

Noncompliance Events Table						
Ref No.	Main Heading	Sub-heading	Failure to:	Number of Points	Assessment Category	NCE Cure Period
14	Project Management	Project Management Plan	Have the relevant part of the Project Management Plan approved by TxDOT prior to commencement of any Work governed by that portion of the Project Management Plan, or failure to comply, or cause a Subcontractor to comply, with a requirement, process, or procedure in the Project Management Plan, as required by the Contract Documents including Section 4.2 of the General Conditions.	2	B	7 Days
15	Project Management	Document Management System	Establish and maintain a document management system as required by and in accordance with the Contract Documents, including Section 4.2.1.2 of the General Conditions.	2	A	7 Days
16	Project Management	Submit or Revise PMP when Required	Develop and submit a part of, or change or addition or revision to, the PMP at the time required all in accordance to Section 4.2 of the General Conditions.	1	B	7 Days
17	Project Management	Audit PMP when Required	Carry out internal audits of the Project Management Plan at the times prescribed in the Project Management Plan in accordance with Attachments 4-1 and 4-2 to the General Conditions.	1	B	7 Days
18	Project Management	Safety and Health Plan	Observe a requirement of the Safety and Health Plan, or to carry out any Work in contravention of (or in absence of) the Safety and Health Plan or in a manner that represents a hazard to Project workers or the general public, as required by the Contract Documents, including Section 4.2.3 of the General Conditions.	3	C	None
19	Project Management	Comply with Progress Meetings	Comply with the requirements for any Project meeting, including for meeting attendance, proper issuance of an agenda, draft or final meeting minutes, or to accurately integrate TxDOT comments with the meeting minutes as required and in accordance with the Contract Documents, including Section 11.2 of the Design-Build Specifications.	1	A	2 Days

★ *Refer to the training "Noncompliance Points System" for more information on the NCP system and NCE table.*



General Overview of Noncompliance Points System

 **Contract Reference:**
DBA Exhibit 16, Sec. 1

DBA



The DBA provides a system that associates Noncompliance Points with predefined Noncompliance Events.

Noncompliance Events



Noncompliance Events Table (Attachment 1 to Exhibit 16 of the DBA):

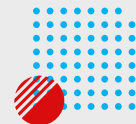
- It identifies each Noncompliance Event.
- Provides Noncompliance Points for each Noncompliance Event.
- Provides "NCE Cure Period" (if any) available to the DB Contractor for each Noncompliance Event.

Noncompliance Points



Noncompliance Points are assessed for failure to meet contractual obligations relative to the events listed in NCE Event Table.

For more information, please review the *Noncompliance Events with Points* training presentation.









April 8, 2025

9 Takeaways and Reference Documents

**Coordination
and
communication
between DB
Contractor and
TxDOT is
essential for
project
success.**

Takeaways

-  It takes a lot of work (and often re-work) to get the PMP developed.
 - Consider holding meetings to discuss TxDOT's expectations and the level of effort required.
-  Define and agree to expectations for the PMP during the partnering process.
-  Avoid interjecting preferences into DB Contractor's PMP.
-  Have both parties work to this baseline and look for improvements.

Procurement and Contract Reference Documents

Request for Qualifications (RFQ) & Request for Proposals (RFP)

contains description of the procurement process, QS content, proposal submittal requirements, evaluation process and criteria, along with Key Personnel identification and qualifications.



Design-Build Agreement (DBA)

contains DB specific and traditional contract language. Allows flexibility for district specific language.



Design-Build General Conditions (DB GC)

contains the project-specific terms and conditions for Design-Build contracts



Design-Build Specifications Items 10-28 (DBS)

contains DB specific and traditional contract language. Allows flexibility for district specific language.



These and other resources can be found at:

<https://ftp.txdot.gov/pub/txdot/atd/programmatic-docs/>

Questions?



HELP

#EndTheStreakTX

End the streak of daily deaths on Texas roadways.

TxDOT.gov (Keyword: #EndTheStreakTX)



#EndTheStreakTX Toolkit

